



**AGREEMENT TO THE GENERAL TERMS TO OPEN AND
ADMINISTER A TRADING ACCOUNT**

(Pursuant to the Order of 28 December 2012 approving the standard agreements mentioned in Article R. 229-35 of the Environmental Code)

Please complete, date, and sign this application form.

Enclose all required supporting documents (see pages 7 and 8).

**Send this original application form and documents by email to:
RegistreFrancais-GES@caissedesdepots.fr**

You can find all practical information on how send your digital application on our website seringas: <https://politiques-sociales.caissedesdepots.fr/SERINGAS-en>

Or by registered post with acknowledgment of receipt to the following address:

National Administrator of European Registry and KP Registry
Caisse des Dépôts – DPS – DGFS- PGM100
12, Avenue Pierre Mendès France
75013 Paris
FRANCE

If you have any queries, you can contact the Registry Team by email:

RegistreFrancais-GES@caissedesdepots.fr

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¹ Natural person appointed by the company to represent it and act in its name and on its behalf.

² Individuals authorized to act on the account. The legal representative may also be one of the authorized representatives.



NATIONAL ADMINISTRATOR OF EUROPEAN REGISTRY

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(Pursuant to the Order of 28 December 2012 approving the standard agreements mentioned in Article R. 229-35 of the Environmental Code)

- Application to open a trading account
- or
- Account modification n° EU-100- _____
- or
- Account periodic review n° EU-100- _____

LEGAL REPRESENTATIVE

(All fields are mandatory)

I, the undersigned, Legal Representative,

SURNAME: _____	FORNAME: _____
Date of birth: ____/____/____	Place of birth: _____
Position: _____	Email: _____
Mobile number 1: _____	Phone number 2: _____

Representing the company:

Company Name: _____
Company Registration Number: _____
Company Registered Address (including Country, State/Region and Post code): _____

European Intra-community VAT Number: _____
LEI (Legal Entity Identifier): _____

Is your company listed on the stock exchange? <input type="checkbox"/> Yes <input type="checkbox"/> No <ul style="list-style-type: none"> • If not, please provide a complete description of the shareholding or ownership structure, signed by the legal representative. The Ultimate Beneficial Owner of the company must be clearly identified.
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Request the opening (or modification) of a “trading account” and agree with the General and Special Terms and Conditions for opening and administering a “trading account” in the European Union Registry

- I certify that all information given in this Special Conditions is accurate.
- I have read and understood the regulations applying to the European Union Registry and agree to comply with those regulations.
- I have read and understood all the provisions of the General Terms and Conditions for opening and administering a “trading account” deriving from the standard agreements referred to in Article R. 229-35 of the French Environmental Code as approved by the Order of 28 December 2012 and published in the French Official Bulletin of the Ministry of Ecology 25 January 2013 as well as the present Special Terms and Conditions and I declare to accept them unreservedly.
- I acknowledge that I am aware of the frequency of the checks on good repute as part of the review provided for by the regulations (every 3 years for the trading account, see Article 22 of Regulation 2019/1122).

Date: _____/_____/_____.

LEGAL REPRESENTATIVES’ SIGNATURES:

NAME, First Name	Handwritten Signature	Electronic Signature
----- ----- Signature specimen The signature must match with the signature on your ID card or Passport		



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ACCOUNT INFORMATION

Account name: _____

Approval for transfers to trusted accounts, tick the box only if you want to change the default behaviour:

By default, transfers to trusted accounts need to be approved, by another Account Representative (AR) having the approver role, before its execution (4 eyes principle – 2 AR to perform a transaction). **I would like to change this behaviour for the account mentioned above and allow the execution of transfers to trusted accounts to be performed at the initialization of the transfer (2 eyes principle – only one AR may perform a transfer to a trusted account).**

INVOICING PERSON CONTACT INFORMATION

(Underlined fields are compulsory)

Invoices are sent by e-mail.
In the event of a change, please inform the registry immediately by email to: factures.registreGES@caissedesdepots.fr

SURNAME: _____ FORNAME: _____

Date of birth: ____/____/____ Place of birth: _____

Email: _____

Professional address: _____

Company registration number: _____

Mobile number: _____ Phone number: _____



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AUTHORIZED REPRESENTATIVES INFORMATION

(All fields are mandatory)

- Notice: - the regulations require at least two authorized representatives (AR) per account;
- You can print and fill this page, as many times as you have ARs for this account.

SURNAME: _____ FORNAME: _____

Date of birth: ____/____/____ Place of birth: _____

Professional address (including Country, State/Region and Post code): _____

Mobile number: _____ Telephone number: _____

Notice: one mobile number cannot be linked to 2 different Authorized Representatives.

Email address: _____

Notice: one email address cannot be linked to 2 different Authorized Representatives.

Role of the Authorised Representative (AR) for this account (tick only one box):

- Initiator: can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list.
Approver: can approve a transaction initiated by another AR and approve an addition/removal of an account to/from the trusted account list initiated by another AR.
Initiator/Approver: can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list. Can also approve a transaction initiated by another and approve an addition/removal of an account to/from the trusted account list initiated by another AR
Read only: cannot initiate nor approve transactions. Cannot initiate or approve an addition/removal of an account to/from the trusted account list.

If you replace an AR, please specify his/her name: _____

Table with 3 columns: Signatures specimens (Two identical signatures), Signature 1, Signature 2. Includes note: The signature must match with the signature on your ID card or Passport.



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AUTHORIZED REPRESENTATIVES INFORMATION

(All fields are mandatory)

- Notice:** - the regulations require at least two authorized representatives (AR) per account;
- You can print and fill this page, as many times as you have ARs for this account.

SURNAME: _____ **FORNAME:** _____

Date of birth: ____/____/____. **Place of birth:** _____

Professional address (including Country, State/Region and Post code): _____

Mobile number: _____ **Telephone number:** _____

Notice: one mobile number cannot be linked to 2 different Authorized Representatives.

Email address: _____

Notice: one email address cannot be linked to 2 different Authorized Representatives.

Role of the Authorised Representative (AR) for this account (tick only one box):

Initiator: can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list.

Approver: can approve a transaction initiated by another AR and approve an addition/removal of an account to/from the trusted account list initiated by another AR.

Initiator/Approver: can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list. Can also approve a transaction initiated by another and approve an addition/removal of an account to/from the trusted account list initiated by another AR

Read only: cannot initiate nor approve transactions. Cannot initiate or approve an addition/removal of an account to/from the trusted account list.

If you replace an AR, please specify his/her name: _____

Signatures specimens (Two identical signatures) The signature must match with the signature on your ID card or Passport	Signature 1	Signature 2
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LIST OF DOCUMENTS REQUIRED

Notice: all documents must be dated less than 3 months

All documents submitted in a **language different from English or from French** must be translated by a sworn translator into English or into French.

1. Please note that the company holding the account must be registered in the European Economic Area, including Switzerland.

Documents required for <u>the company</u>	Check
1. Proof of Existence of legal entity - France: KBIS extract.: French certificate of incorporation - Other countries: notarized, legalized, or certified true copy of foreign equivalent document (ex: « Certificate of registration” or “Certificate of incorporation »)	
2. BIC - SWIFT ID: Bank details of the corporation	
3. Account holder’s liability to VAT: - France and EEA: VAT registration number or certificate of non-liability for VAT if applicable. - OECD and others: VAT/TAX number or Certificate of non-liability for VAT or Certificate of compliance with Tax Services.	
4. Shareholder: complete description of your shareholding structure. All owners, particularly the Ultimate Beneficial Owners (UBO) who own or control 25% or more of the legal entity need to be identified. The document must be signed by the legal representative.	
5. List of Directors of the legal entity: document signed by the legal representative.	
6. Account holder’s liability to AML-FT regulation: If your company is submitted to AML-FT regulation, please provide the Wolfsberg form.	
7. For an account opening request, please provide a letter signed by the legal representative explaining why your company needs to open a trading account in the French Registry.	

Documents required for <u>the legal representative or empowered representative by the company</u>	Check
8. Copy of a current valid National Identity Card or passport. (both sides and legible): - France: Simple copy - Other countries: Copy notarized or legalized by the competent authority	
9. Power of Attorney The legal representative or empowered representative by the company must prove its legitimacy to bind the entity: either his name is registered in the certificate of incorporation, in the Articles of association, or he is empowered to act on the company’s behalf by a person listed in the Certificate of incorporation or in the Articles of association. Original or certified true copy document required.	



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2. Please note that at least one of the authorized representatives must be a French permanent resident.

Documents required for <u>authorized representatives</u> (AR)	Check			
	AR1	AR2	AR3	AR4
10. Copy of a current valid National Identity Card or passport. (both sides and legible) <ul style="list-style-type: none"> - France: Simple copy - Other countries: Copy notarized or legalized by the competent authority 				
11. Evidence of personal domicile <ul style="list-style-type: none"> - France and EU: Simple copy - Other countries: Copy notarized or legalized by the national competent authority 				
12. Original extract of criminal record from residence country, delivered by the national competent Authority.				

Note: Please provide the same documents listed above for all AR, including the ones with “Read only” role.



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GENERAL DATA PROTECTION REGULATION (GDPR)

The information collected is processed by Caisse des Dépôts et consignations, a financial institution whose head office is located at 56 rue de Lille - 75007 PARIS (France), and whose legal representative is its Chief Executive Director (referred below as "Caisse des Dépôts"), the authority responsible for data protection (Data Controller).

Caisse des Dépôts, acting as National Administrator of the registry of greenhouse gas (GHG) emission allowances appointed by the French State, has to collect the following categories of personal data: data related to the identification of the account holder and its representatives, data related to the professional life of the account holder and its representatives, economic and or financial data of the account holder and its representatives, data related to criminal convictions of the account holder and its representatives and connection data to the following internet platform:

<https://unionregistry.ec.europa.eu/euregistry/FR/index.xhtml>

The processing carried out on the basis of personal data collected by Caisse des Dépôts is only devoted to the opening and to the management of the GHG accounts. The legal basis for this processing is the performance of a mission of public interest in accordance with Articles L. 229-16 and R. 229-34 of the Environmental Code.

The data collected will only be transmitted to authorized persons from or by the Caisse des Dépôts or to legally authorized third parties, including the European Commission. This processing does not give rise to any transfer outside the European Union. The information collected and marked as mandatory is essential to allow your request to be processed.

In accordance with the regulations related to the protection of personal data, account representatives (legal representative and authorised representatives) have a right of access and rectification, the right to limitation and opposition as well as the right to send the Caisse des Dépôts special instructions relating to the fate of their personal data.

Pursuant to Article 78 of Regulation (EU) No. 2019/1122, personal data shall be kept in accordance with the modalities provided by the European Regulation 2016/679, namely:

Personal data shall be removed from the records after five years of the closure of an account or after five years of the closure of business relationship, as defined in Article 3(13) of Directive (EU) 2015/849, with a natural person.

For the purposes of investigation, detection, prosecution, tax administration or enforcement, auditing and financial supervision of activities involving allowances, or of money laundering, terrorism financing, other serious crime or market abuse for which the accounts in the Union Registry may be an instrument, or of breaches of Union or national law ensuring the functioning of the EU ETS, personal data controlled by national administrators may be retained after the closure of the business relationship until the end of a period corresponding to the maximum prescription period of these offences laid down in the national law of the national administrator.

To assert their rights, account holders or account representatives can send an e-mail to the following address : mesdonneespersonnelles@caissedesdepots.fr or a post mail to : Caisse des Dépôts - Personal Data Officer- Etablissement de Bordeaux 6 place des Citernes, 33059 Bordeaux Cedex, France, and attach all necessary documents to justify their identity and their request.

We invite you to consult our Personal Data Protection Policy at the following address: <https://www.caissedesdepots.fr/donnees-personnelles-et-cookies/notice-information-seringas>. For any additional information or difficulty related to the use of their data, account holders or account representatives can contact our Data Protection Officer (DPO) at the following address: dpo@caissedesdepots.fr. In case of an unresolved difficulty, they can contact the CNIL - The French Data Protection Authority. The CNIL has the general mission of informing individuals of their rights accorded to them by the French Data Protection Act.